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**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: **Ken SAWADA et al.**

Group Art Unit: **1756**

Serial No.: **10/804,179**

Examiner: **TBA**

Filed: **March 19, 2004**

Confirmation No.: **6768**

**For: RESIST PATTERN FORMING METHOD AND SEMICONDUCTOR  
DEVICE FABRICATION METHOD**

Attorney Docket No.: **042256**

Customer Number: **38834**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**June 23, 2004**

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO-1449. A copy of each document listed, is attached.

No fee or certification is required in connection with this Information Disclosure Statement, because it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

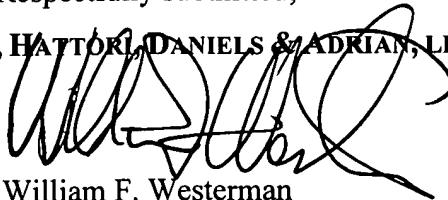
The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. Applicants respectfully request that the information be expressly considered during the prosecution of this application and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

Appln. Serial No. 10/804,179  
By: Ken SAWADA et al.

The Commissioner is authorized to charge our Deposit Account No. 50-2866 for any fee that is required to effect consideration of this statement.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

A handwritten signature in black ink, appearing to read 'W. F. Westerman', is written over the firm name.

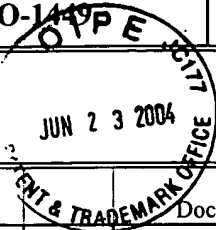
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WFW/meu

Enclosures: PTO-1449 Form  
(5) References

Docket No. **042256**  
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|--|--|------------------------------|
| <b>INFORMATION<br/>DISCLOSURE<br/>CITATION</b><br>PTO-1449 | Atty. Docket No. <b>042256</b>         | Serial No. <b>10/804,179</b> |
|  | Applicant(s): <b>Ken SAWADA et al.</b> |                              |
|  | Filing Date: <b>March 19, 2004</b>     | Group Art Unit: <b>1756</b>  |



### U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Name | Date | Class | Subclass | Filing Date (If appropriate) |
|------------------|--------------|------|------|-------|----------|------------------------------|
|                  |              |      |      |       |          |                              |
|                  |              |      |      |       |          |                              |
|                  |              |      |      |       |          |                              |
|                  |              |      |      |       |          |                              |

### FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document No.    | Date    | Country | Translation (Yes or No) |
|------------------|-----------------|---------|---------|-------------------------|
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|                  | 11-307549 A     | 11/5/99 | Japan   | Yes-Abstract            |
|                  | 10-073927 A     | 3/17/98 | Japan   | Yes-Abstract            |
|                  | 08-115923 A     | 5/7/96  | Japan   | Yes-Abstract            |
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|                  | WO 03/014830 A1 | 2/20/03 | WIPO    |                         |
|                  |                 |         |         |                         |
|                  |                 |         |         |                         |
|                  |                 |         |         |                         |
|                  |                 |         |         |                         |

### OTHER DOCUMENTS

|          |  |                 |
|----------|--|-----------------|
|          |  |                 |
| Examiner |  | Date Considered |